

ECMK Application Form & Agreement

This agreement sets out the terms and conditions that apply to the provision of accreditation services by ecmk Limited (refer to in this Agreement as “**ecmk**”).

By signing this document, you are making a formal application to join **ecmk**'s accreditation scheme. If your application is successful, your membership of **ecmk**'s accreditation scheme and your conduct in the provision of assessment services will be governed by this Agreement.

This Agreement comprises of the following parts:

1. **Checklist of Supporting Evidence**
2. **Application Form**
3. **Fees**
4. **Terms and Conditions of Membership**
5. **Code of Conduct**
6. **Declaration**

In order to complete the application process to join ecmk's accreditation scheme, please:

- complete the Application Form
- read the Terms and Conditions of Membership, Code of Conduct and Declaration
- assemble the required Supporting Evidence
- sign the Declaration
- Upload all application documents onto ECMK's "Assessor Hub" for verification (log in details will be issued when you are ready to do this).

ecmk cannot accept any application documents by post or email due to GDPR Regulations and the Scheme's ISO27001.

For enquiries about your application, please contact the **Accreditation Team**

Telephone **0333 123 1418** or email support@ecmk.co.uk

1. Checklist of Supporting Evidence

Please ensure that you upload all the relevant evidence to support your application onto ECMK's Assessor Hub. Log in details can be obtained from support@ecmk.co.uk.

Below is a checklist of all the documents required for your application:

- Completed Application Form, signed on the Declaration page (this document)
- Proof of ID – passport or driving license (photo card)
- Proof of address – a recent bank statement, utility bill or council tax bill
- Proof of qualification – a certificate from the Awarding Body
- Original Criminal Records Bureau Check (in date) - Click link to apply [Request a basic CRB](#)
- Photograph – head and shoulder passport style in full colour
- If utilising your own insurance, please provide a copy of the Public Liability and Professional Indemnity cover, (for Retrofit Coordinator cover, the documents must specify cover for Retrofit Coordinating).

Please ensure ALL documents and supporting evidence are uploaded onto ECMK's Assessor Hub

This can be accessed via:

Member Management > Attachments > Mandatory Attachments

We aim to process your application within 48 hours.

Please email support@ecmk.co.uk or call **0333 123 1418 (opt. 4)** should you need assistance.

2. Application Form & Terms & Conditions

Please tick the Scheme applied for:

Existing Dwellings (DEA)	<input type="checkbox"/>	Non-Dwellings L3	<input type="checkbox"/>
Section 63 Assessor (Scotland only)	<input type="checkbox"/>	Non-Dwellings L4	<input type="checkbox"/>
Retrofit Assessor	<input type="checkbox"/>	Display Energy Certificates	<input type="checkbox"/>
Retrofit Co-ordinator	<input type="checkbox"/>	Legionella Risk Assessments	<input type="checkbox"/>

Please tick the Territories applied for:

England & Wales	<input type="checkbox"/>	Northern Ireland	<input type="checkbox"/>	Scotland	<input type="checkbox"/>
-----------------	--------------------------	------------------	--------------------------	----------	--------------------------

SECTION 1 – Personal Details

(referred to in this Agreement as “you” or the “Assessor” or the “Member”)

Your name, contact number and email address will appear on the reports which you lodge.

Title (Mr, Mrs, Miss, Ms, Dr etc.)	
Surname	
Forename(s)	
Date of birth (DD/MM/YY)	

Nationality	
National Insurance Number	

Home Address			
County		Postcode	
Date moved into current address			

Home telephone number	
Mobile number	
Home email address	

Qualifications/specialisms e.g. Degrees, other Energy Assessment qualifications	
Postcode coverage (please be specific)	

SECTION 2 – Details for Invoicing

The details provided below will be used to prepare your invoices – please only complete if they are not the same as in Section 1. These details are visible on the Central Register, applicable to the scheme applying for.

Company or trading name	
Company registration number	
Company Directors name	
Company Directors email and number	

Company or trading address			
County		Postcode	

Work telephone number	
Work email address	

SECTION 3 – Other Information

Which organisation did you complete your training with?	
Which Accreditation Scheme(s) have you previously been a Member of?	
Have you ever been suspended from another Accreditation Scheme?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
If yes, please provide details of the Scheme, date and reason for the suspension.	

Retrofit Coordinators ONLY

Details of ISO 9001, PAS2030:2019 etc accreditation.

If not applicable, details of how you aim to comply with;

- Control of documents
- Control of records
- Control of non-conforming products
- Corrective action
- Preventative action
- Internal audit
- Management review

3. Fees and Charges

The ecmk Certification covers the Certification of individuals who have met the criteria laid out in the relevant register. Fees for the lodgement of assessments are as follows:

Lodgement Type	Lodgement Fee	Pay per click insurance
EPC – Existing Dwelling	£3.23	£0.32
EPC – Non-Domestic	£11.75	£1.13
EPR – Energy Performance Report	£1	NA
DEC – Display Energy Certificate	£6.75	£1.13
Legionella Risk Assessments	£3.75	£0.45
Section 63	£11.75	£1.13
Retrofit Assessments	£5	£0.48
Retrofit Coordinator	£5	NA

Other Fees	Fee
Retrofit Assessor	£199 Per year
Retrofit Coordinator	£299 Per year
PAS Document Download	£10 Per job

General
VAT All fees given are exclusive of VAT, which will be charged at the prevailing rate.
Cancellation If a Certificate needs to be replaced or cancelled by an assessor, the above lodgement fees will apply to the replacement or cancellation of the Certificate.
Notes All fees are excluding the relevant register fee that may be subject to change.

4. Terms and Conditions of Membership

Commencement and Term

This Agreement will commence on the date that **ecmk** provides you with written confirmation of your acceptance as a member of the **ecmk** accreditation scheme (the “Commencement Date”).

This Agreement shall begin on the Commencement Date for an initial term of 12 months. This Agreement will automatically renew for subsequent 12 month periods, subject to termination by two months written notice at any time from either party. This Agreement may also be terminated immediately by **ecmk** if you breach the terms of this Agreement.

On the termination of this Agreement, you will stop using the Certification and Accreditation Services and you will return to **ecmk** all related materials and documentation and any confidential information belonging to **ecmk**.

Termination of this Agreement shall not affect the accrued rights or liabilities of either party or the continuance of any provision which is expressly or by implication intended to come into or continue in force on or after such termination.

Provision of Services

The provision of Certification and Accreditation Services under this Agreement shall incorporate by reference **ecmk**'s current published scheme rules, policies and procedures. In using the Certification and Accreditation Services provided by **ecmk**, you agree to comply with **ecmk**'s published scheme rules, policies and procedures and the provisions of this Agreement at all times. Any change to **ecmk**'s published scheme rules, policies and procedures shall be communicated to you in writing by **ecmk**'s appointed scheme manager.

ecmk shall ensure that all of its personnel engaged in delivery of the Certification and Accreditation Services have the necessary skills, expertise and diligence to undertake such work and will conform to the professional standards generally observed in the industry for similar services.

Fees and Charges

In consideration of **ecmk** providing the Certification and Accreditation Services under this Agreement, you agree to pay the fees charged by **ecmk** in accordance with the Fees and Charges section above.

ecmk will carry out a credit check at the point of receiving the application, which will determine whether your account will be set up under Direct debit or prepay.

If the account is on a direct debit payment method, **ecmk** will email you an invoice at the start of each calendar month for the Fees and Charges incurred by you from the previous calendar month. You agree to pay the Fees and Charges that are invoiced by **ecmk** by Direct Debit on or immediately after the last working day of the month. Direct debit will be taken on the calendar month after the invoice date. If there are any changes to the amount, date or frequency of your Direct Debit, **ecmk** will notify you ten working days in advance of your account being debited or as otherwise agreed. If you request **ecmk** to collect a payment, confirmation of the amount and date will be given to you at the time of the request.

If your account is on a Prepayment method, you will need to credit your account with funds prior to carrying out any assessments. Assessment fees will then be deducted from your credit against your account. You will still receive an invoice for any assessments carried out, this will be for reference only, as payment has been received upfront.

In the event of late payment, where appropriate written warning has been given, **ecmk** reserves the right to suspend lodgement facilities and charge interest and associated costs in accordance with the Late Payment of Commercial Debts (Interest) Act 1998 in the course of pursuing such debts.

All payments under this Agreement are exclusive of VAT, which shall be payable by you at the rate and in the same manner for the time being prescribed by law against submission of a valid tax invoice.

Lodgements

All Assessments must be lodged to the central register using approved software. For Domestic EPCs we will provide you with a copy of the following:

- Assessor Hub Portal (to access previously lodged EPCs)

For Non-Domestic and DEC assessments we can accept lodgements from many software solutions.

For Retrofit assessors, they can store and upload evidence to Data Warehouse, in an approved format.

Please email support@ecmk.co.uk if you require any further information.

Continuing Professional Development (CPD)

You must attend a minimum of 10 hours CPD per annum. We will acknowledge CPD from CPD events provided by all other Accreditation Schemes and, at our discretion, may approve CPD from other sources. The annual 10 hour CPD minimum relates to your first strand of accreditation. If you are accredited for multiple strands, you will need to achieve an additional 5 hours CPD per additional strand.

Retrofit Assessors must complete a minimum of 10 hours of CPD per year. Retrofit Coordinators must complete a minimum of 25 hours per year in addition to other CPD requirements.

For further information concerning CPD, please refer to the CPD Policy (ACC-403).

Quality Audit

We will audit a minimum of 2% of your annual lodgements and provide feedback from the audits.

We will email you requesting the mandatory evidence relating to your assessments prior to an audit. You must provide the evidence requested for audit within three weeks of the request. If you use the SMART Survey Application to undertake your assessments, we are able to automatically obtain the evidence we require from Assessor Hub.

For further information, please refer to QA Policy (ACC-409).

All random quality audit requests will be free of charge. However, activity that causes the need for targeted, complaints or additional audits (including mandatory auditing of re-lodged reports) may lead to fees for remedial audit activities being charged to the assessor responsible. Please see charges for QA activities listed in our ACC-420 Charges for QA Activities.

Complaints

If you receive a complaint as a result of an assessment, please inform the Accreditation Manager within 2 weeks of receiving the complaint by emailing schememanager@ecmk.co.uk. This is to ensure that we can work with you to resolve any concerns quickly and fairly. For our full complaints procedures, please refer to our Complaints Process (ACC-401).

Insurance

You agree to hold **ecmk** harmless and without fault from any claims arising from the misuse of the Certification and Accreditation Services. You agree to hold appropriate Public Liability and Professional Indemnity Insurance to cover any claims made against you in this respect and to provide written evidence of such cover to **ecmk**. The insurer must be included on the Financial Services Authority (FSA) register as 'Authorised', 'EEA Authorised' or 'Appointed Representative'

If you use your own insurance, it must have the following minimum amounts of cover (for any one claim) with a 10 year run-off period:

Below is a list of the insurance requirements for each Lodgement Type.

Domestic

Ecmk will ensure that DEAs have a minimum valid insurance cover of:

Professional Indemnity - £50,000 per claim.

Public Liability - £1,000,000 per claim (excluding Scotland).

Non-Domestic

Ecmk will ensure that NDEAs have a minimum valid insurance cover of:

Professional Indemnity - £250,000 per claim (£100,000 for Scotland).

Public Liability - £1,000,000 per claim (excluding Scotland).

Retrofit

Ecmk will ensure that Retrofit strands have a minimum valid insurance cover of:

Professional Indemnity - £250,000 per claim

Public Liability - £1,000,000 per claim

Display Energy Certificates

Ecmk will ensure that DECEAs have a minimum valid insurance cover of:

Professional Indemnity – £100,000 per claim.

Public Liability - £1,000,000 per claim (excluding Scotland).

A copy of the relevant insurance documentation covering the named assessor and / or organisation must be provided to **ecmk**, stating the scope, nature and purpose of the cover, including which level of certificate is required.

In the event that you fail or are unable to provide **ecmk** with the required documentation confirming that valid insurance coverage in place, **ecmk** reserves the right to provide and charge for appropriate cover for and on your behalf, or to withhold the Certification and Accreditation Services.

Code of Conduct

You must abide by **ecmk**'s Code of Conduct and will sign a Declaration at the end of this Agreement that you have read and understood a copy of the current version of the Code of Conduct.

We will communicate any changes to **ecmk**'s Code of Conduct to you by email. However, it is your responsibility to ensure that you are aware of and comply with the Code of Conduct at all times.

For further information on the Code of Conduct, please refer to the Code of Conduct set out below (ACC406) and Code of Conduct Violations (ACC-404). All policies and the current version of the Code of Conduct can be found on the **ecmk** website www.ecmk.co.uk under the 'Downloads' Tab and 'Accreditation' section.

Change of Details

You must inform **ecmk** of any changes to the details you have provided in the Application. Any changes must be notified to **ecmk** in writing or via email to support@ecmk.co.uk. If you change your address, we will need to see proof of your new address by way of a copy of a utility bill or bank statement displaying your new address.

Information Sharing

ecmk may share information concerning any members with the following organisations to maintain the effective running of the Scheme:

- Government
- Other Accreditation Schemes
- Green Deal Certification Bodies
- Green Deal Oversight Body
- Scottish Government
- TrustMark

The purpose for sharing information can include:

Disciplinary actions associated with:

- A failure to meet the Code of Conduct
- The production of defective assessments
- Failure to meet CPD requirements
- Other matters relevant to the:
 - Code of Conduct
 - Complaints against you
 - Results of QA Checks
 - CPD Records

Criminal Records Check

Upon your initial application you must provide **ecmk** with a valid CRB Check. You will need to provide **ecmk** with the results of subsequent CRB Checks every 3 years. We require a coloured copy of the CRB.

Credit Reference and Fraud Prevention Agencies

We may make periodic searches with credit reference and fraud prevention agencies to manage your account which will allow us to make decisions regarding credit, including whether to make credit available or to continue or extend existing credit.

Where you do not make repayments in accordance with our payment terms, or if we suspect fraud, we reserve the right to inform credit reference agencies accordingly.

By signing this Agreement, you agree to us undertaking searches through external credit reference agencies where necessary for any application under consideration.

Breach of the Terms and Conditions of Membership can result in disciplinary procedures being taken against you, possibly including the suspension of your account (please refer to **ecmk** Disciplinary Procedures (ACC-413)).

Intellectual Property

ecmk retains all copyright and other intellectual property rights in any material or software provided to Members in any media, whether or not registered, including (without limitation) patents, trademarks, service marks, trade names, registered design and any applications for the protection or registration of these rights and all renewals and extensions thereof throughout the world.

Agency, Partnership

This Agreement shall not constitute or imply any partnership, joint venture, agency, fiduciary relationship or other relationship between the parties other than the contractual relationship for the supply of services expressly provided for in this Agreement. You agree and confirm that you are acting as an independent contractor and not as an employee of **ecmk** in utilising the Certification and Accreditation Services.

Amendments

No variation of this Agreement shall be valid unless it is in writing and signed by or on behalf of each of the parties.

Assignment

ecmk may assign this Agreement to any acquirer of all or of substantially all of such party's equity securities, assets or business relating to the subject matter of this Agreement or to any entity controlled by, that controls, or is under common control with a party to this Agreement. You may not assign this Agreement without the written consent of **ecmk**.

Entire Agreement

The parties confirm that they have not entered into this Agreement on the basis of any representation that is not expressly incorporated into this Agreement.

Set-off

Where either party has incurred any liability to the other party, under this Agreement, and whether such liability is liquidated or unliquidated, each party may set off the amount of such liability against any sum that would otherwise be due to the other party under this Agreement.

Third Parties

No term of this Agreement shall be enforceable under the Contracts (Rights of Third Parties) Act 1999 by a third party, but this does not affect any right or remedy of a third party which exists or is available apart from under that Act.

Proper Law and Jurisdiction

This Agreement and all matters arising from it and any dispute resolutions referred to below shall be governed by and construed in accordance with the law of England and Wales and subject to the exclusive jurisdiction of the English courts.

5. Accreditation Schemes - Code of Conduct

5.5

Introduction

This document outlines the Code of Conduct that applies to all members who are accredited with ecmk.

The Code sets out the principles that all ecmk members must follow when undertaking their energy assessment or Retrofit Coordination activities. ecmk monitors members compliance with the Code of Conduct. Any breach of the Code of Conduct will be dealt with in accordance with ecmk's disciplinary procedures.

The Code of Conduct complies with requirements set out by MHCLG in their Scheme Operating Requirements (SORs) for Energy Assessors in England and Wales and The Operating Framework for Approved Organisations in Scotland. The latest versions can be found on the EASOB website [www.
http://easob.co.uk](http://easob.co.uk).

For Retrofit assessors and coordinators, the Code of Conduct complies with PAS2035, TrustMark Operating Requirements and TrustMark Code of Conduct. Details on this can be found on Assessor Hub against Scheme Documents.

Purpose

The Code of Conduct is intended to support all Members and to help them maintain professional and ethical standards in their work. The Code is also an assurance for your clients, the general public and other interested parties that assessments are carried out in a professional and ethical manner and adhere to current legislation.

General Information

Members must sign the Declaration at the end of this Agreement. This signifies your commitment to abide by all of the rules and principles of the Code. It is your responsibility to make sure you are aware of changes or amendments to any part of the Code. If the Code changes, we will email you details of the change. An up-to-date copy of the Code is also maintained on the ecmk website www.ecmk.co.uk under the 'Downloads' and 'Scheme Documents' tabs.

This Code must be adhered to by all ecmk Members with any involvement or responsibility at any level, in an assessment. If any Member is found to be in breach of the Code, disciplinary action may be taken against them.

Members details will be displayed on an Assessment, such as name, email and telephone number. Members are not permitted to display any third-party company, sub-contracting company details, or false details that may mislead or mis direct a customer if there is an issue with the certificate at a later stage. The assessment is the sole work and responsibility of the named Assessor and not the company or sub-contracted company's responsibility or liability.

If a Member exhibits a lack of knowledge of the Code, a formal interview may be requested to establish the Member's knowledge of the Code in more detail. If in doubt about the interpretation of the Code, Members may consult ecmk directly and may request written clarification from ecmk.

The Principles of the Code

The core principles of the Code of Conduct are that all Members shall:

- conform to all applicable national and international laws.
- behave ethically and not do anything which might damage the reputation of ecmk, its Members or the profession as a whole.
- ensure that assessments and associated activities are designed, performed and recorded accurately, transparently, objectively and to an appropriate quality and independently of any interest the Member may have in the property.
- provide your client with adequate, accurate information about the general purpose and nature of the assessment.
- explain the requirement for photo evidence and the purpose of such photos.
- explain what the customer should do with regards to any complaints they have in connection with the assessment.
- not allow personal data collected during an assessment to be used for any purpose other than to produce the required assessment.
- take special care when carrying out assessments amongst children and other vulnerable groups of the population.
- conform to the accepted principles of fair competition.
- comply with ecmk's published policies and procedures pertaining to accreditation or assessment activities; and
- notify ecmk of any changes to their contact details (i.e.: name, email, address, telephone number).

All Certificates produced as a result of an assessment will be lodged via the ecmk scheme to the Central Register.

Conflicts of Interest

Where a Member carries out an assessment for any property.

- owned by themselves.
- owned by an associate of theirs; or
- in which they or their associate has an interest.

the Member must disclose the extent of their interest/relationship and the relevant facts to the customer before the assessment takes place, and on the certification documents.

Record Keeping

The minimum expectation of ecmk Members is that they will comply with record keeping as follows:

- Assessors must complete and retain for each assessment an ecmk RdSAP data collection sheet or undertake the inspection with an appropriate electronic site data capture tool, which includes the CoreLogic UK Smart Survey application and the PAS Application and PASHub application.

- Assessors will maintain their records and ensure they are kept in a safe and secure way and make suitable back-ups of all data. Assessors using the CoreLogic UK applications shall be entitled to rely on their service to retain such records on the members behalf.
- Site notes must be:
 - stored securely for a minimum of 10 years.
 - complete, consistent, legible, and sufficiently detailed.
 - available in electronic form within 15 working days upon request.

Before using a camera to record evidence at a property you must inform your client, indicating what will be photographed and the purpose of taking photographs. If your client does not give approval, Members must not take photographs and should record the reason in their site notes.

If during or prior to conducting an inspection, you consider a property or part of the property to be unsafe you shall:

- record any such instances in your site notes.
- if necessary, inform others as part of their duty of care; and
- if necessary, not continue with the provision of an assessment.

Rules of Professional Conduct

Members shall:

- conform to all relevant national and international legislation, in particular, the Data Protection Act 1998, and GDPR.
- only undertake an assessment for which the member is judged to be competent by their Accreditation Scheme(s).
- always act with integrity.
- treat others with respect.
- always be honest, open and transparent in dealings with their clients (actual or potential), employers, employees and the general public.
- take reasonable steps to avoid, and declare, any known conflicts of interest.
- be accountable for all of their actions and the people with whom they work.
- be objective at all times.
- recognise and act within their limitations.
- have, and be prepared to show, identification at all times when conducting their duties on site.
- do nothing that brings Energy Certificates or the energy assessment process, the Accreditation Schemes, Trustmark, DLUHC or the Register Operator or the energy efficiency industry into disrepute; ensure that Assessments and associated activities are designed, performed and recorded accurately, transparently and objectively;
- take reasonable steps to ensure that others with whom they work do not breach or cause a breach of this Code. This includes other Assessors, your clients, observers and consultants.
- provide written notification to their client or prospective client, of the terms on which they are to act. To inform their client in writing, that a copy of the Scheme Members' complaints handling procedure is available on request.
- take all reasonable precautions to ensure that customers/homeowners are not harmed or adversely affected as a result of participating in an Assessment.
- not exploit the vulnerability of clients or take advantage of their lack of knowledge.

- not enter a property that is occupied by children unless those children are accompanied by a responsible adult.
- disclose to ecmk any convictions and cautions received since the date of the last CRB check supplied; and
- in the course of your work as an Assessor, take reasonable steps to ensure your own, and the public's health and safety.
- decline offers of gifts, hospitality or inducements that may be perceived as jeopardising the objectivity of an assessment.
- communicate to the building occupier the purpose and the process of assessment, and reason for collecting photographs and data.
- explain how the resulting Energy Certificate can be accessed.
- not misrepresent their role as an energy assessor.
- cease or indeed not commence an assessment if requested by the building occupier, owner or landlord or a person acting on their behalf.

Dealing with Customers

Members should be aware that this Code does not cover all relevant legislative requirements and it is the responsibility of all Members to familiarise themselves with these. Members should be aware in particular that breaches of the Data Protection Act 1998 are grounds for disciplinary action under ecmk Disciplinary Procedures (ACC-413)

Members shall:

- treat any personal data encountered during the course of an assessment with care and not pass any details on to a third party.
- preserve the anonymity of their clients unless they have given their informed consent for their details to be revealed; or for attributable comments to be passed on.
- where consent has been given for personal details to be used:
 - demonstrate that they have taken all reasonable steps to ensure that personal details are only used for the purpose for which they were collected; and
 - fully inform the client as to what will be revealed, to whom and for what purpose.
- comply with a request from their client for information on complaints or unresolved issues to be published (e.g. in customer satisfaction surveys). The information to be published must be agreed with the client and must not be linked to any other data or used for any other purpose without the explicit consent of the client; and
- on receipt of a complaint, provide the complainant with their relevant complaints procedure, and explain to the client that if they are not satisfied with the outcome of the complaint, how the client can access ecmk's complaints procedure. The Assessor shall explain that the complainant's statutory rights are not affected by accessing the complaints procedures.

The ecmk logo shall be in accordance with the guidelines for the use of that material and use of any such logo does not adversely affect the scheme or its membership.

If any inducement to alter the results of an Assessment is offered, you must immediately terminate the Assessment and inform ecmk in writing, also informing your client that this has been done.

Membership

Members should note that:

- the Assessor must notify ecmk if a complaint has been raised about them within two weeks of receiving it.
- in the first instance, complaints should be handled by the Member or their employer and escalated to ecmk as required.
- Members must inform all customers of ecmk's complaints procedures.
- the building occupant's co-operation is voluntary and must be based on sufficient and accurate information about the purpose and nature of the assessment.
- Members will respect the rights of occupants and they shall not be harmed or disadvantaged as a result of co-operating in an assessment or investigation.
- Members must show their ecmk ID card on all visits to properties and should take extra care to show their ID when performing an assessment for elderly or vulnerable customer; and
- Members should not enter a dwelling if a minor (16 years or under) or other vulnerable member of the community is the only Customer present.

General Notes

Members must take reasonable steps to ensure that any publicity, advertising, or marketing for which they are responsible is legal, accurate and not misleading.

All members are required to maintain the required level of competence.

Please refer to our CPD policy for further information. (ACC-403)

Complaints

All members must ensure that they undertake the following when a complaint or even a potential complaint comes to light:

- if a verbal complaint is received, they must ask for the complaint to be put in writing.
- they must inform ecmk of all complaints and potential complaints.
- they must pass a copy of all written complaints to ecmk.
- when a Customer or other stakeholder raises a complaint, the Member must make them aware of their own and ecmk's complaints procedure.
- the Member must undertake any corrective action as required by ecmk.
- Members must have their own complaints procedure.
- The complaints procedure must state that complaints will be free of charge.

The above applies for all Assessors, including those that work within an employed capacity.

If you conduct your assessment activities as an employee within an organisation, you may seek to resolve the complaint through your organisation's complaints procedures. In this instance, you must still also inform ecmk of the complaint, the action taken, and the resolution reached. You must also advise the complainant that they can contact ecmk and provide them with access to ecmk's complaints procedure.

Queries

For the purposes of this clause, a query is any discussion whether verbal or written between an Assessor and a customer which relates to a clarification on the substance of an assessment or the process undertaken to produce the assessment. If you provide a response to a query from a customer, you should record the query and the response and then retain this as part of your supporting documentation and evidence for the assessment.

If the discussion implies any concern about the Assessor's professional conduct or the accuracy of the assessment, then this should be handled as a complaint (detailed above).

Assessors must make these records available to ecmk upon request.

Disciplinary Procedure

An Assessor's membership of the ecmk Accreditation Scheme may be withdrawn or other disciplinary action taken if a Member is deemed guilty of unprofessional conduct. This includes a Member:

- being guilty of an act or conduct which, in the opinion of ecmk, might bring discredit on the profession, ecmk or its Members.
- being found by ecmk to be guilty of any breach of this Code of Conduct.
- being found by ecmk to be guilty of any breach of any other regulations laid down by ecmk.
- failing without good reason to assist ecmk in the investigation of a complaint.
- in the absence of mitigating circumstances, having become bankrupt or having made any arrangement or composition with their creditors.
- being found to be in breach of the Data Protection Act 1998 or being found by ecmk to have infringed any of the eight data protection principles set out in the Act: or
- being convicted or cautioned for a serious offence.

All rules set out in the Code must be observed and adhered to by all Members with any involvement, or with any responsibility, for a matter at any level. This means that more than one Member might be in breach of a rule in respect of the same matter.

Note that where more than one Member is involved in a matter under complaint, whilst ecmk reserves the right to proceed with an investigation and other relevant processes against all such Members under its Disciplinary Procedures, it will usually apply its discretion to proceed only against the most senior Member(s) involved.

Anti-Fraud policy

Purpose

- Ecmk is committed to the prevention, deterrence, detection and investigation of all forms of fraud.
- Ecmk seeks to reduce the opportunity for fraud and will take prompt action to investigate fully and address any suspected cases.

Scope

- Ecmk requires all staff including contracted staff and all members of any of the ecmk schemes to act honestly and with integrity to safeguard the integrity of the scheme at all times.
- It is the responsibility of all staff to read and be familiar with the contents of this policy and any related procedures and to identify and notify ecmk of any suspected cases of fraud or fraud risk.
- Due to the robust levels of auditing and auditor training, ecmk will be vigilant and aware of fraudulent practises to prevent any future issues that may arise.
- Ecmk will use third party websites, photographic evidence and previous lodged EPCs to assist in the detection of fraudulent practices.

All records of suspected fraudulent activity by Assessors and/or companies will be retained by ecmk and shared with other Certification Bodies and relevant third parties such as (but not limited to) DLUHC, BEIS, Landmark, GDORB and TrustMark.

Policy Statement

- Ecmk will not accept any level of fraud or corruption and will treat any such matter with the utmost seriousness. Each case will be thoroughly investigated and dealt with appropriately. Investigations may invoke the disciplinary procedure and could result in removal from the scheme and / or legal action by reporting to the appropriate authorities.

Definitions of Fraud

- For the purpose of this document the following definitions apply:
 - Falsifying any documentation in support of an application to join the scheme such as falsifying an identification document.
 - Deliberately entering false information into any of the scheme's software engines or third-party software programmes to produce inaccurate certificates resulting in financial gain.
 - Failure to disclose any relevant information in support of an application to the scheme.

Published Policies and Procedures

All relevant policy and procedure documents pertaining to accreditation and assessment work can be found on the ecmk website www.ecmk.co.uk under the 'Downloads' Tab.

Use of Information

ecmk Ltd is registered under the Data Protection Act 1998 and undertakes to process your data in accordance with the legal requirements of the Act.

The data from this form will be used solely by ecmk Ltd for administration and application purposes. We will also share information about your accreditation status with other Accreditation Schemes, the Operator of the Register, DLUHC & TrustMark.

All data is treated in confidence and is not disclosed to any third parties, other than when we are required to, or permitted to by law, or when you have given your consent. By signing this form, you give your consent for ecmk ltd to use the data in the way described above.

As an ecmk member, we will from time to time email you with important updates and technical bulletins that are required as part of the accreditation services we provide to you. You will also receive information regarding your membership such as audit requests, feedback and membership status updates.

If in addition to this you still wish to receive information about new services or products we offer, please tick the subscribe box

Subscribe ☐

6. Declaration

- I confirm that the information I have provided in support of this application is complete and true, and understand that knowingly to make a false statement for this purpose is a criminal offence.
- I have read and understood the Code of Conduct and agree to abide by its principles.
- I have read and understood the Terms and Conditions of Membership and agree to be bound by them.
- I have read and understood the above Use of Information statement and agree to ecmk ltd using my membership information in accordance with the Use of Information statement.
- I have read, understood and agree to the terms set out in this Agreement.

Name	
Signature	
Date	

Change History Record

Issue	Description of Change	Name	Date
8.8	New SORs and Trustmark details	Kirsty Deighton	13/09/2019
8.9	Added Retrofit Strands	Joe Mellon	16/04/2020
9.0	New Code of Conduct	Stephen Farrow	09/07/2020
9.1	Added RFC items	Stephen Farrow	27/08/2020
9.2	Added PAS Document Download	Joe Mellon	08/09/2021
9.3	Code of Conduct updated & Review	Joe Mellon	18/12/2021
9.4	EPC fee and PPC insurance updated. Rebranded	Esther Harries	05/10/2022
9.5	Checklist (no. 5) added/amended	Esther Harries	31/05/2023
9.6	Updated Code of Conduct & Added EPR Costs	Joe Mellon	15/08/2023
9.7	Removed requests for Fax numbers	Joe Mellon	22/08/2023
9.8	Document Review	Kirsty England	18/10/2024
9.9	Pricing change and Code of Conduct update	Kirsty England	21/11/2024
9.10	Amended the pricing and minor amendments	Kirsty England	10/03/2025
10.0	Removed On Construction strand, amended pricing and minor amendments	Kirsty England	01/04/2025
10.1	Added company directors details	Kirsty England	06/06/2025